

EXHIBIT 1

Applicant: KENSIL et al.

Serial No.: 07/573,268

Filed: August 27, 1990

For: SAPONIN ADJUVANT

Docket: 0614.0690001

Attorney: HLB

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. Cover Letter;
2. Request for Refund;
3. An original executed Verified Statement (Declaration) Claiming Small Entity Status under 37 CFR Sections 1.9(f) and 1.27(c) as a Small Business Concern;
4. A copy of our check no. 4633; and
5. This post card which we ask that you stamp with the date of receipt and return to us.



DUPLICATE

SAIDMAN, STERNE, KESSLER & GOLDSTEIN

ATTORNEYS AT LAW

1225 CONNECTICUT AVENUE
WASHINGTON, D.C. 20036

(202) 466-0800

CABLE: PRELEX
TELEX: 248636 SSK

TELEFAX: (202) 833-8716
GROUPS I, II & III

BALTIMORE
INNER HARBOR CENTER
400 EAST PRATT STREET, SUITE 800
BALTIMORE, MARYLAND 21202
(301) 659-7570

WRITER'S DIRECT NUMBER:

PERRY J. SAIDMAN
ROBERT GREENE STERNE
EDWARD J. KESSLER
JORGE A. GOLDSTEIN
SAMUEL L. FOX
HOLLIE L. BAKER
HENRY N. WIXON
DAVID K.S. CORNWELL*
JOHN D. MITCHELL, JR.*
JOAN L. DILLON
SCOTT M. ALTER
JANE E. R. POTTER
JEFFREY I. AUERBACH
ROBERT W. ESMOND
TRACY-GENE GRAVELINE
STEVEN N. FOX*
SCOTT HORSTEMEYER*

W. MURRAY SPRUILL
MICHELE A. CIMBALA
DONALD J. FEATHERSTONE
REGISTERED
PATENT AGENTS

*BAR OTHER THAN D.C.

October 2, 1990

The Honorable Commissioner
of Patents and Trademarks
Washington, D.C. 20231

DUPLICATE

RE: U.S. Utility Patent Application
Serial No. 07/573,268; Filed: August 27, 1990
For: SAPONIN ADJUVANT
Inventors: KENSIL et al.
Our Reference: 0614.0690001

Sir:

The following documents are forwarded herewith for appropriate action by the U.S. Patent and Trademark Office:

1. Request for Refund;
2. An original executed Verified Statement (Declaration) Claiming Small Entity Status under 37 CFR §1.9(f) and §1.27(c) as a Small Business Concern;
3. A copy of our check no. 4633 which was submitted in support of the \$1,100.00 filing fee on August 27, 1990; and
4. One (1) return post card.

It is respectfully requested that the attached postpaid post card be stamped with the date of receipt, and that it be returned as soon as possible.

Honorable Commissioner of
Patents and Trademarks
October 2, 1990
Page 2

If extensions of time under 37 CFR § 1.136 are required to prevent abandonment of the present patent application, then such extensions of time are hereby petitioned. The Commissioner is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

SAIDMAN, STERNE, KESSLER & GOLDSTEIN

Hollie L. Baker
Hollie L. Baker
Attorney for Applicants
Registration No. 32,321

HLB/sjc
C10-25.SJC
Enclosures

DUPLICATE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	:	
KENSIL <u>et al.</u>	:	
Serial No. 07/573,268	:	Art Unit:
Filed: August 27, 1990	:	Examiner:
For: SAPONIN ADJUVANT	:	Atty Docket: 0614.0690001
	:	
	:	
	:	
	:	

REQUEST FOR REFUND

Honorable Commissioner of
Patents and Trademarks
Washington, D.C. 20231

DUPLICATE

Sir:

Applicant requests that a refund of \$550.00 be credited to our Deposit Account 19-0036. It is asserted that refund of the following are due:

1. \$185.00 for the filing fee;
2. \$150.00 for the excess claims fee; and
3. \$215.00 for the extension of time fee.

In support of the above assertion of the propriety of a refund, the following document is submitted with this Request:

- A. A Verified Statement Claiming Small Entity Status; and
- B. A copy of check no. 4633 in the amount of \$1,100.00 submitted on the filing date.

It is therefore respectfully requested that a refund in the amount of \$550.00 be credited to our deposit account no. 19-0036.

A duplicate copy of this Request is enclosed.

Respectfully submitted,

SAIDMAN, STERNE, KESSLER & GOLDSTEIN

Hollie L. Baker

Hollie L. Baker
Attorney for Applicants
Registration No. 32,321

Date: 3 October 1990

1225 Connecticut Avenue
Washington, D.C. 20036
(202) 466-0800

HLB/sjc
C10-24.SJC

DUPLICATE

Applicant or Patentee: KENSIL et al. Attorney's
Serial or Patent No.: 07/573,268 Docket No.: 0614,0690001
Filed or Issued: August 27, 1990
For: SAPONIN ADJUVANT

VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR 1.9 (f) and 1.27 (c)) — SMALL BUSINESS CONCERN

DUPLICATE

X I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN CAMBRIDGE BIOSCIENCE CORPORATION
ADDRESS OF CONCERN 365 Plantation Street, Worcester, MA 01605

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9 (d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled SAPONIN ADJUVANT

Charlotte A. Kensil, Dante J. Marciani, Gerald Beltz and Chung-Ho Hung by inventor(s) described in

- ☐ the specification filed herewith
☒ application serial no. 07/573,268, filed August 27, 1990
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9 (d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9 (d) or a nonprofit organization under 37 CFR 1.9 (c).

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
() INDIVIDUAL () SMALL BUSINESS CONCERN () NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28 (b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING Dante J. Marciani, Sc.D., Ph.D.
TITLE OF PERSON OTHER THAN OWNER ☒ Vice President of Research & Development
ADDRESS OF PERSON SIGNING Cambridge BioScience Corporation, 365 Plantation
Street, Worcester, MA 01605

SIGNATURE *D. Marciani* DATE X 9/7/90

Applicant: KENSIL et al.

Serial No.: to be assigned

Filed: herewith

For:

Docket: 0614.0690001

Attorney: HLB

SAPONIN ADJUVANT

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. Cover Letter;
2. Associate Power of Attorney;
3. Petition for Three-Month Extension of Time;
4. Rule 1.62 FWC Continuation Application;
5. Our check no. 4633 in the amount of \$1,100.00; and
6. Two post cards which we ask that you stamp with the unofficial serial number and filing date and return to us.

Applicant: KENSIL et al.

Serial No.: to be assigned

Filed: herewith

For:

Docket: 0614.0690001

Attorney: HLB

SAPONIN ADJUVANT

When receipt stamp is placed hereon, the USPTO acknowledges receipt of the following documents:

1. Cover Letter;
2. Associate Power of Attorney;
3. Petition for Three-Month Extension of Time;
4. Rule 1.62 FWC Continuation Application;
5. Our check no. 4633 in the amount of \$1,100.00; and
6. Two post cards which we ask that you stamp with the unofficial serial number and filing date and return to us.

SAIDMAN, STERNE, KESSLER & GOLDSTEIN
ATTORNEYS AT LAW
GOVERNMENT FEES ACCT.
1225 CONNECTICUT AVENUE, N.W.
WASHINGTON, D.C. 20036

REMITTANCE ADVICE					

4633

15-122
540

DOLLARS

PAY

THE SUM 1100 DOLS 00 CTS

DATE	TO THE ORDER OF	
7/27/90	COMM. OF PATENTS & TRADEMARKS	0614.0690001 Application Filing, Add Claims Extension of Time

CHECK AMOUNT
1100 00

DOMINION
BANK



WASHINGTON, D.C. 20005

Samuel L. Fox

⑈004633⑈ ⑆054001220⑆ 76 01219701⑈

DUPLICATE